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3 **ALTERNATIVE PROMOTER AND GATA5 TRANSCRIPTS IN MOUSE**
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23 Running Head: GATA5 expression in mice
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37 **Abstract**

38 GATA5 is a member of the GATA zinc finger transcription factor family involved in tissue-specific tran-
39 scriptional regulation during cell differentiation and embryogenesis. Previous reports indicate that null
40 mutation of the zebrafish GATA5 gene results in embryonic lethality, while deletion of exon 1 from the
41 mouse GATA5 gene causes only derangement of female urogenital development. Here, we have identi-
42 fied an alternate promoter within intron 1 of the mouse GATA5 gene that transcribes a 2.5 kb mRNA that
43 lacks exon 1 entirely, but includes 82 bp from intron 1 and all of exons 2-6. The alternative promoter was
44 active during transient transfection in cultured airway myocytes and bronchial epithelial cells, and drove
45 reporter gene expression in gastric epithelial cells in transgenic mice. The 2.5 kb alternative transcript en-
46 codes an N-terminally truncated “short GATA5” comprising aa 226-404 with a single zinc finger, that
47 retains ability to transactivate the ANF promoter (albeit less efficiently than full-length GATA5). Another
48 new GATA5 transcript contains all of exons 1-5 and the 5’ portion of exon 6, but lacks the terminal 1143
49 bp of the 3’ UTR from exon 6. These findings extend current understanding of the tissue distribution of
50 GATA5 expression and suggests that GATA5 expression and function are more complex than previously
51 appreciated.

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53 Key Words: differentiation, airway, gut, epithelium

54 Introduction

55 The GATA transcription factors contain a highly conserved DNA binding domain consisting of two zinc
56 fingers that mediate binding to the sequence (A/T)GATA(A/G). These factors have been divided into two
57 subfamilies, GATA1, 2, and 3, and GATA4, 5, and 6 based on their expression patterns and amino acid
58 sequence homologies. GATA1, 2, and 3 genes are prominently expressed in hematopoietic cells and ecto-
59 derm derivatives while GATA4, 5, and 6 genes are expressed in various mesoderm and endoderm-derived
60 tissues (19, 26).

61

62 GATA5 temporal and spatial expression patterns suggest its involvement in tissue-specific transcriptional
63 regulation during cell differentiation and embryogenesis. In zebrafish, GATA5 expression is detected by
64 4.3 hpf in the yolk syncytial layer and at 9 hpf in endoderm and mesoderm. A critical role of GATA5 in
65 heart development was demonstrated by the discovery that null mutation of the GATA5 gene (*faust*) in
66 zebrafish results in embryonic lethality due to defects in endocardial and myocardial differentiation mi-
67 gration (10, 28). *Xenopus* GATA5 is expressed in the yolk-rich vegetal cells of embryos from the early
68 gastrula stage onwards and in the sub-blastoporal endoderm during mid-gastrula stages, revealing an im-
69 portant role for GATA5 in endoderm differentiation (32). In chick, GATA5 is transcribed at its highest
70 levels in a zone of epithelial cells apical of the progenitor crypt cells, suggesting a function of GATA5 in
71 regulation of terminal differentiation (7). During mouse development, GATA5 is expressed initially in the
72 precardiac mesoderm between E7 and E8 and continues throughout the heart until E16.5. Beginning at
73 midgestation, mouse GATA5 is also expressed within pulmonary mesenchyme, as well as in the urogenit-
74 al ridge, in epithelial cells lining the urogenital sinus, in the bladder, and in the gut epithelium. Postnatal-
75 ly, GATA5 expression becomes markedly upregulated in the intestine, stomach, lungs, bladder, and endo-
76 cardium, but not in myocardium (14, 19, 21, 23, 27). The mutant GATA5 (*faust*) zebrafish exhibits a
77 similar phenotype to that of GATA4-null mice (*cardia bifida*). In contrast, GATA5 exon 1-deleted mice
78 lived to adulthood, but females exhibited genitourinary abnormalities (20). These findings suggest two

79 non-mutually exclusive possibilities: 1) unlike GATA4 or GATA6 deletion, GATA5 gene deletion does
80 not result in embryonic lethality in mice, and/or 2) there is an alternative GATA5 transcript that excludes
81 exon 1, but which nonetheless encodes a functional GATA5 variant that fulfills some of the roles of full-
82 length GATA5.

83

84 Here, we addressed the latter possibility. A previous study had demonstrated that two distinct isoforms of
85 chicken GATA5 are expressed from two alternative promoters, which transcribed mRNAs that included
86 different exons upstream of those encoding most of the full-length protein (15). One of these mRNAs en-
87 coded an N-terminally truncated GATA5 protein with one zinc finger that still bound DNA and exhibited
88 GATA transcription-promoting activity. Mouse GATA1 (12), human and mouse GATA2 (18, 25), hu-
89 man and mouse GATA3 (1), and human and mouse GATA6 (3) genes all possess two promoters and two
90 initiation codons. We therefore hypothesized that the mouse GATA5 gene might share this feature with
91 other members of the GATA family, employing distinct transcripts to regulate downstream tissue-specific
92 gene expression.

93

94 In this study, we identified two additional GATA5 transcripts in mouse using 5' RNA ligase mediated
95 rapid amplification of cDNA ends (RLM RACE), 3' RACE, RT-PCR, and northern blot. One transcript
96 (2.5 kb) begins within intron 1 at 82 bp upstream of exon 2 and includes exons 2 to 6 in their entireties.
97 Another new GATA5 transcript contains all of exons 1-5 and the 5' portion of exon 6, but lacks the ter-
98 minal 1143 bp of the 3' UTR from exon 6. A novel promoter region located at GATA5 genomic se-
99 quence bp +890 to +2312 (relative to the previously known transcription start site) directs expression of
100 the 2.5 kb GATA5 transcript initiated in intron 1. This alternative transcript encodes an N-terminally
101 truncated short GATA5 isoform that retains transcription-promoting activity. As such, GATA5 expression
102 and function are more complex than previously appreciated.

103

104 Experimental Procedures

105 *Northern analysis.* Northern blots of polyA⁺ RNA from a variety of mouse tissues (OriGene, Rockville,
106 MD) and of total RNA from intestinal mucosa of wild type C57B6l/6J mice were used to identify tran-
107 scripts of GATA5. Total RNA was isolated using the TotalRNA kit (Ambion, Austin, TX), electropho-
108 resed on a formaldehyde 1.2% agarose gel, and transferred to a positively charged nylon membrane that
109 was then cut into 3 pieces, for hybridization with three non-overlapping GATA5 cDNA probes. A 5' UTR
110 cDNA probe contained the first 350 bp of the previously known GATA5 exon 1, while a 3' UTR cDNA
111 probe (full-length transcript bp 2232-2712) was derived exclusively from GATA5 exon 6. An exon 2-6
112 cDNA probe spanned 578 bp corresponding to previously known GATA5 exons 2 to 6 (full-length tran-
113 script bp 968-1542). Each probe was labeled with [³²P]α-dCTP using a random primer labeling kit (Am-
114 bion) and purified using ProbeQuant G-50 Micro Columns (GE Healthcare, Piscataway, NJ). Northern
115 hybridization was carried out at 42°C using NorthernMax (Ambion) according to manufacturer's instruc-
116 tions. Blots were washed at high stringency and exposed to film overnight at -80°C.

117

118 *5' RLM-RACE and 3' RACE.* 5' RLM-RACE was employed to identify the 5' end of mouse GATA5
119 transcripts following the manufacturer's manual (FirstChoice RLM-RACE kit, Ambion, Austin, TX).
120 Briefly, 10 μg of total RNA from mouse stomach, lung or heart was dephosphorylated to remove the 5'-
121 phosphate group from RNA or contaminating DNA molecules. Tobacco acid pyrophosphatase (TAP) was
122 then used to specifically remove the cap structure from mRNA. A RNA oligonucleotide was next ligated
123 to newly decapped mRNA using T4 RNA ligase and the resulting RNA was reverse-transcribed using Su-
124 perScript III (Invitrogen, Carlsbad, CA) and a GATA5 gene specific primer 5'-
125 AGGCAAAGTCTTCAGGTTTCG-3' that maps to exon 6. PCR amplification was performed using Takara
126 (Madison, WI) Taq polymerase and GC buffer with 5' RACE Outer Primer 5'-
127 GCTGATGGCGATGAATGAACACTG-3' and a GATA5 gene specific primer 5'-
128 GAGAAGAGGCTGTGGTGTTC-3' that maps to exon 5. Nested PCR was done with 5' RACE Inner

129 Primer 5'-CGCGGATCCGAACACTGCGTTTGCTGGCTTTGATG-3' and a different GATA5 gene spe-
130 cific primer 5'-AGTATGGCAGTTGGAGCAGCATAG-3' that maps to exon 3. For 3' RACE, first-strand
131 cDNA was synthesized from total RNAs of mouse stomach using Takara oligo dT-3sites adaptor primer
132 and PCR amplification was performed using Takara Taq polymerase and GC buffer with Takara 3sites
133 adaptor primer 5'-CTGATCTAGAGGTACCGGATCC-3' and a sense primer 5'-
134 AGTATGGCAGTTGGAGCAGCATAG-3' within exon 3. The PCR amplification protocol was 94°C for
135 180 s; forty cycles of 94°C for 30 s, 60°C for 30 s, and 72°C for 150 s; followed by extension at 72°C for
136 300 s in an Applied Biosystems (Foster City, CA) GeneAmp PCR System 9700. PCR products were pu-
137 rified using a QIAquick Gel Extraction Kit (Qiagen, Valencia, CA) and subcloned into pCR3.1-TOPO
138 vector (Invitrogen). Ten independent clones were sequenced for each RACE-PCR reaction in the Univer-
139 sity of Chicago Sequencing Facility.

140

141 *Reverse transcription-PCR.* Reverse transcription was performed using SuperScript® First-Strand Syn-
142 thesis System (Invitrogen) according to the manufacturer's instructions, using 2.5 µg total RNA from
143 stomach, lung or heart of C57BL/6J mice and GATA5 gene specific primer 5'-
144 AACAAAACAAAATAACAACAAC-3', corresponding to the last 22 nucleotides of GATA5 mRNA 3'
145 UTR. Takara Taq polymerase and GC buffer were used for PCR amplification of GATA5 cDNA with
146 sense primer 5'-AAAAGGAGGCCAAGCATAGCAGAC-3', which corresponds to intron 1 immediately
147 upstream of exon 2 and antisense primer 5'-ATCTGCCTTGTGCCTTACTGTGGC-3' within the ex-
148 on 6 3' UTR. The PCR amplification protocol was as above. PCR products were purified using QIA-
149 quick Gel Extraction Kit and were subcloned into pCR3.1-TOPO vector. Ten independent clones were
150 sequenced for each PCR reaction. To assess the tissue distribution of mRNAs encoding full-length or
151 short GATA5, we isolated DNA-free total RNA from various tissues of C57Bl/6J mice using the RNeasy
152 kit (Qiagen) and using 0.5 µg RNA/tissue we reverse transcribed cDNAs with the iScript cDNA Synthesis
153 Kit (Bio-Rad Laboratories, Hercules, CA). Sequence corresponding to 494 bp of full-length GATA5

154 cDNA was PCR-amplified using primers that map to exon 1 (5'-AGCCTTCGACAGCA-GCATC-3') and
155 exon 5 (listed above), and sequence corresponding to 303 bp of the short GATA5 cDNA was amplified
156 using the intron 1 and exon 3 primers listed above. A 159 bp fragment of the b-actin cDNA was also am-
157 plified using forward primer 5'-TTGCTGACAGGATGCAGAAGGAGA-3' and reverse primer 5'-
158 ACTCCTGCTTGCTGATCCACATCT-3'. The PCR protocol was as listed above, except that 35 ampli-
159 fication cycles were used.

160

161 *Alternative GATA5 promoter-luciferase reporter plasmids.* The pGL3-basic vector (Promega, Madison,
162 WI) was used to create promoter-reporter plasmids to measure potential alternative GATA5 promoter ac-
163 tivities. A series of DNA fragments comprising bp +890, +1348, or +1895 to +2312 of the mouse GA-
164 TA5 gene (numbering is relative to the previously known GATA5 transcription start site) were generated
165 by PCR amplification and inserted into MluI/NheI sites upstream of the firefly luciferase gene. Sense
166 primers were: 5'-CACACGCGTAACTAAGGCGCGCAGCAATAAACC-3' (corresponding to bp +890
167 to +913); 5'-CACACGCGTACCACAGCCATCTTGTTCTGCAAC-3' (corresponding to bp +1348 to
168 +1371); 5'-CACACGCGTCCATCCATTTCTTCTGCCTGCTTC-3' (corresponding to bp +1895 to
169 +1919). The antisense primer was 5'-CACACTAGTATTGCATAGATAGTGTCCGGTGCC-3' (corres-
170 ponding to bp +2289 to +2312); MluI or SpeI sites included in these primers are underlined. PCR amplifi-
171 cations were performed with Taraka LA TaqTM polymerase and GC buffer recommended by the supplier
172 (Takara, Madison, WI). All constructs were verified by DNA sequence analysis and purified by Endo-
173 Free Plasmid Maxi Kit (Qiagen, Valencia, CA).

174

175 *Expression plasmids.* Total RNA was extracted by the ToTALLY RNATM Kit (Ambion) from lungs of 8
176 week old C57Bl/6J mice. cDNA was synthesized from 2 µg total RNA with dT₁₂₋₁₈ adaptor primer and
177 SuperScriptTM II Reverse Transcriptase (Invitrogen, Carlsbad, CA). To create expression vector pcDNA-
178 GATA5-f, a full-length murine GATA5 cDNA was amplified with a sense primer corresponding to the

179 region upstream of the start codon in exon 1 (5'-CACGAATTCTCTGCAGGTCAAGCTCG-3') and an
180 antisense primer corresponding to the region spanning the stop codon on exon 6 (5'-CACCTCGAGT-
181 GTGGTGACAGTTTCCTGAGC-3'); EcoRI and XhoI sites are underlined. For expression vector
182 pcDNA-GATA5-s encoding short GATA5, a portion of the GATA5 cDNA encoding amino acid residues
183 226 to 404 was amplified with a sense primer corresponding to the region including the predicted new
184 start codon (underlined) in exon 2 (5'-CACGAATTCTGCGGCCTCTATCACAAGATGAACGGGGT-
185 CAACCG-3') and the above antisense primer within exon 6. The resultant PCR fragments were digested
186 with EcoRI and XhoI and cloned into the EcoRI-XhoI sites of pcDNA3.1 (Invitrogen), which directs
187 cDNA expression under control of the CMV promoter. Both expression plasmids were sequenced and pu-
188 rified with an EndoFree Plasmid Maxi Kit (Qiagen). The expression vector pcDNA-GATA4 and the atrial
189 natrietic factor (ANF)-promoter/luciferase reporter plasmid were described previously (22, 29).

190

191 *Cell culture.* Canine tracheal smooth muscle (CTSM) cell cultures were established as described pre-
192 viously (9) and cells from the second passage were used for transfection assays. 16HBE14o- (generously
193 provided by Dr. D.C. Gruenert) and NIH3T3 cells (ATCC, Manassas, VA) were maintained in Dulbec-
194 co's Modified Essential Medium (DMEM)/F12 supplemented with 10% fetal bovine serum, 100 units/ml
195 penicillin, and 100 µg/ml streptomycin at 37°C in a 5% CO₂ humidified incubator.

196

197 *Transfection.* To measure the GATA5 promoter activity, transient transfection was performed in 12-well
198 plates at 60,000 cells/well of CTSM cells or 16HBE14o- cells with 1 µg pGL3-basic or equal molar
199 amounts of pGL3-basic-derived plasmids containing mouse GATA5 promoter constructs, and 3 ng of
200 pKT-null vector containing the Renilla luciferase reporter gene as an internal control. In experiments with
201 forced expression of GATA5 transcription factors, 1 µg of expression vectors pcDNA-GATA4, pcDNA-
202 GATA5-f, or pcDNA-GATA5-s, or empty pcDNA3.1 (as control) were co-transfected along with 1 µg of
203 ANF promoter-luciferase reporter plasmid, 1 µg empty pcDNA3.1, and 3 ng of pKT-null vector into

204 NIH3T3 cells. All mammalian cells were transfected with Qiafect Reagent (Qiagen) according to manu-
205 facturer's instructions. At 48 hrs post-transfection, cells were harvested and assayed for reporter activity
206 using a dual luciferase assay system (Promega). Luciferase activity was normalized as luciferase/Renilla
207 activity and was expressed as average \pm SEM from at least three independent experiments, each per-
208 formed in triplicate wells.

209

210 *Western analysis.* Full-length GATA5 or short GATA5 (containing aa 226-404) were expressed by tran-
211 sient transfection of their encoding plasmids in NIH 3T3 cells. Extracts of transfected NIH 3T3 cells and
212 of mouse intestinal mucosa were prepared using RIPA buffer with protease inhibitors. 25 μ g of total pro-
213 tein per each sample was boiled in Laemmli buffer and resolved on SDS-PAGE. Proteins were transferred
214 to Hybond-PVDF membranes and probed with AB4133 antibody (recognizes aa 235-247 in the middle
215 portion of full-length GATA5) or Y-19 antibody (recognizes N-term of GATA5) (Santa Cruz Biotechnol-
216 ogy, Santa Cruz, CA) at 1/200 dilution, or Sigma anti-GATA5 antibody (recognizes aa 235-247) (Sigma,
217 St. Louis, MO) at 1/200 dilution. GATA5 proteins were detected using anti-rabbit or anti-goat horseradish
218 peroxidase antibody (GE Healthcare UK Ltd, Little Chalfont Buckinghamshire, UK) as appropriate at
219 1/10,000 dilution and the SuperSignal Chemiluminescence system (Pierce, Rockford, IL).

220

221 *Transgenic mice.* Plasmid pMB105 was obtained from Dr. Ravi Misra (Medical College of Wisconsin,
222 WI) and modified by inserting a short linker containing NotI-XbaI-SmaI-NheI-XbaI sites upstream of the
223 LacZ gene contained therein. The GATA5 genomic sequence from +890 bp to +2312 bp was amplified by
224 PCR using the primers listed above, digested with NheI, and ligated into SmaI-NheI digested pMB105.
225 The pIRES2-EGFP vector (Clontech, Mountain View, CA) was used as template to amplify its IRES-
226 EGFP sequence with sense primer 5'-CACAGATCTATCCGC-CCCTCTCCCTCC-3' and antisense pri-
227 mer 5'-CACCTGCAGAACAACTCAACCCTATCT-CG-3' (restriction sites underlined). A point mu-
228 tation was introduced by PCR to delete a NotI site in the IRES-EGFP sequence. BglII- and PstI-digested

229 IRES-EGFP was isolated and inserted into the BglII-PstI site of pMB105 downstream of the LacZ gene.
230 The alternative GATA5 promoter reporter construct built in pMB105 thus contained a 6.2-kb transgene
231 cassette, including 1.4 kb potential alternative GATA5 promoter, 3.1 kb LacZ gene and 1.7 kb IRES and
232 EGFP with SV40 early mRNA polyadenylation signal. The construct was verified by sequencing and the
233 linear transgene released by digestion with NotI (Figure 4A). Transgenic mice harboring this cassette
234 were generated by the transgenic mouse core facility of the University of Chicago Animal Resources Cen-
235 ter.

236

237 *X-gal staining.* Tissues dissected from alternative GATA5 promoter adult transgenic mice and wild-type
238 C57BL/6J mice were rinsed with phosphate buffer solution then fixed for 1 hr with 2% paraformaldehyde
239 on ice. After three washes with phosphate buffer solution, the tissues were treated at 37°C overnight with
240 1 mg/mL X-gal, 5 mM potassium ferricyanide, 5 mM potassium ferrocyanide, 0.01% NP-40 and 0.1%
241 deoxycholate. Tissues were embedded in paraffin, sectioned, and counterstained with eosin (Sigma).

242

243 *Cell and tissue immunostaining.* NIH 3T3 cells transiently transfected with either pcDNA-GATA5-f or
244 pcDNA-GATA5-s, were fixed with 1% paraformaldehyde for 10 min, washed five times with PBS, per-
245 meabilized with 0.1% Triton X-100 in PBS for 5 min, preincubated with 10% goat serum-PBS for 15 min
246 and incubated with polyclonal antibodies against GATA5 (diluted 1/500, Sigma) and Alexa 488-
247 phalloidin (diluted 1/100, Invitrogen-Molecular Probes) in 1% BSA-PBS for two hrs at room temperature
248 in a humidified chamber. After three washes of 5 min each in PBS, GATA5 was detected with an Alexa
249 594-conjugated goat anti-mouse antibody (1/250 dilution; Invitrogen-Molecular Probes), and counters-
250 tained with DAPI. Fluorescence micrographs were taken on an Axlesia 200 microscope and images pseu-
251 docolored and merged using ImageJ (NIH, Bethesda, MD). Tissues from GATA5 promoter/reporter
252 transgenic mice were frozen in OCT, and 6 μ frozen sections were immunostained for EGFP using anti-
253 GFP primary rabbit polyclonal antibody (1/100 dilution; Clontech), horseradish peroxidase-coupled goat

254 anti-rabbit secondary antibody, and 3,3'-diaminobenzidine after permeabilization by 5 min incubation in
255 0.5% NP40 and quenching endogenous peroxidase activity by 5 min incubation with Peroxidase Block
256 (Dako, Glostrup, Denmark).

257

258 **Results**

259 *Identification of two alternative GATA5 transcripts.* Northern analysis performed using poly-A⁺ RNA
260 from a variety of mouse tissues and probes corresponding to GATA5 5'UTR in exon 1, GATA5 exons 2-
261 6, and GATA5 far 3'UTR in exon 6 revealed three transcripts approximately 3.3 kb, 2.5 kb, and 2.1 kb in
262 size (Figure 1A). Two bands (3.3 kb and 2.1 kb) were detected with the GATA5 5' UTR probe and GA-
263 TA5 exon 2-6 probe prominently in intestine, stomach, lung, and kidney. However, the 2.1 kb band was
264 not present when the GATA5 3'UTR probe was used. In addition, a much weaker hybridizing band of 2.5
265 kb was evident in intestine. We found similar results upon northern analysis of total RNA from small in-
266 testinal mucosa (Figure 1B). The previously known 3.3kb transcript was identified with all three probes,
267 confirming that it includes sequences within exons 1, 2-6, and the far 3' end of exon 6. In contrast, the 2.1
268 kb transcript hybridized with GATA5 5'UTR and GATA5 exons 2-6 probes, but not the 3'UTR probe,
269 indicating that it lacks the 3' terminal sequence of the full-length GATA5 mRNA (3.3 kb). The third,
270 much less abundant 2.5 kb transcript hybridized with exons 2-6 and 3' UTR probes, but not the 5'UTR
271 probe, indicating that it omits this sequence from exon 1.

272

273 *Identification of transcription start site and 3' end in the alternative GATA5 mRNAs.* We employed 5'
274 RLM-RACE to amplify mouse stomach cDNAs only from full-length capped mRNAs and so to map the
275 transcription start sites of mouse GATA5 mRNA. Besides recovering the previously known GATA5 tran-
276 scription start site in exon 1, we discovered a novel transcription start site in intron 1, at bp +2121 of the
277 mouse GATA5 gene, located 82 bp upstream of exon 2. Sequence analysis disclosed that the first exon of
278 this novel GATA5 transcript includes 258 bp, comprising 82 bp of intronic sequence immediately up-

279 stream of exon 2 and the whole 176 bp exon 2; sequence corresponding to exon 3 was also present in the
280 PCR product, confirming that this transcript splices normally to exon 3. 5' RLM-RACE was repeated and
281 a similar product recovered using total RNA from mouse lung and heart.

282

283 To identify whether this new GATA5 transcript shares the same downstream exons and 3'UTR as the
284 full-length (3.3 kb) GATA5 mRNA, GATA5 cDNA was reverse transcribed with a 22 nucleotide gene
285 specific primer corresponding to the 3' end of the full-length GATA5 transcript using total RNA from
286 mouse lung or stomach. PCR amplification of GATA5 cDNA was the performed with a sense primer cor-
287 responding to the first (most 5') 24 nucleotides of the putative novel transcript (in intron 1) and an anti-
288 sense primer that maps to the 3'UTR. We found that the amplified sequence consists of 82 bp upstream of
289 exon 2 at its 5' end and is thereafter identical to exons 2 to 6 of full-length GATA5 transcript. These data
290 unequivocally confirm that a novel transcription start site occurs at bp +2121 of the mouse GATA5 gene,
291 and indicate that this new GATA5 mRNA of 2483 bp is expressed in mouse stomach, lung and heart. This
292 sequence is consistent with the 2.5 kb GATA5 transcript observed in intestine.

293

294 The sequences of the 3' RACE products revealed two different termini of GATA5 cDNAs. One was iden-
295 tical with the previously known 3' end of full-length GATA5 transcripts, but a novel 3' terminus of GA-
296 TA5 mRNA was also found, corresponding to bp 2106 of the previously known full-length GATA5 tran-
297 script (bp +8398 of the mouse GATA5 gene); transcripts with this novel 3' end lack the terminal 1143 bp
298 of the 3' UTR. The predicted resultant 2.1 kb GATA5 transcript is evidently abundantly expressed, as
299 shown in Figures 1A and 1B. Figure 1C shows the inferred exon usage of all three GATA5-encoding
300 mRNAs. Note that an ATG codon in exon 2 is in frame with the full-length GATA5 cDNA; this sug-
301 gested the possibility that the 2.5 kb GATA5 transcript that lacks exon 1 might nonetheless encode an N-
302 terminally truncated "short" GATA5, whose translation start site might occur at the indicated ATG in ex-
303 on 2 (Figure 1C).

304

305 *Short GATA5 is expressed in gut.* To validate the expression and assess the tissue distribution of mRNA
306 encoding short GATA5, we performed RT-PCR using GATA5 intron 1 primer which is specific for short
307 GATA5 mRNA. Figure 1D shows that mRNAs encoding short GATA5 isoform is expressed in a similar
308 distribution to full-length GATA5 mRNA among tissues. Both full-length and short GATA5-encoding
309 mRNAs are most abundant in intestine, stomach, and lung, and are also expressed at low levels in heart
310 and kidney. In contrast, very little or no GATA5 mRNAs appear in liver, spleen, brain, or thymus. In ad-
311 dition, western analysis demonstrates full-length GATA5 (~45 kD) in intestinal mucosa from four regions
312 indicated in Figure 1E and short GATA5 (~27 kD) is present in proximal colonic mucosa. The latter con-
313 firms that short GATA5 is indeed expressed endogenously.

314

315 In the Affymetrix Mouse Genome 430 2.0 Array, two probe sets (1450125_at and 1450126_at) detect
316 GATA5 expression. Probe 1450125_at is derived from full-length GATA5 cDNA bp 2668-2985 while
317 probe 1450126_at corresponds to bp 1507-2085. Because it lacks bp 2107-3249 of the full-length GATA5
318 cDNA, the novel 2.1 kb transcript cannot be detected by the probe set 1450125_at. In contrast, the probe
319 set 1450126_at can detect all isoforms of GATA5 transcripts. This suggested to us that the apparent ab-
320 undance of GATA5 as judged by hybridization to 1450126_at should exceed that suggested by hybridiza-
321 tion to 1450125_at, assuming that the entire transcript is reverse-transcribed during processing of the
322 mRNA for microarray hybridization. To test this prediction, we analyzed three sets of lung gene expres-
323 sion previously published by us (11, 17, 31), Version 3 of the Genomics Institute of the Novartis Research
324 Foundation's Mouse GeneAtlas (13), and a report of colonocyte gene expression (16). In each of these
325 studies, the GATA5 signal intensities reported by probe set 1450126_at were higher than those reported
326 by probe set 1450125_at in at least 90% of samples ($P < 0.0001$ for each data set) (Table 1).

327

328 *Genomic sequence within GATA5 intron 1 has transcription promoting activity.* To determine whether an
329 alternative promoter transcribes the novel 2.5 kb GATA5 mRNA in mouse, we amplified a series of DNA
330 fragments and cloned these upstream of the luciferase cDNA in pGL3-basic (Figure 2A). These constructs
331 comprised a 5' deletion series with 3' ends in exon 2 upstream of the alternate initiation codon at +2312
332 of GATA5 gene. Reporter plasmids were transiently transfected into CTSM cells and 16HBE14o- cells,
333 and promoter activity determined as firefly luciferase activity normalized to Renilla luciferase activity.
334 The genomic DNA sequence comprising +890 bp to +2312 bp had clearcut promoter activity in CSM
335 cells (7.4-fold that of empty pGL3-basic) and 16HBE14o- cells (5.8-fold that of empty pGL3-basic)
336 (Figure 2B). Furthermore, the genomic DNA sequence spanning +890 bp to +1347 bp evidently contains
337 positive cis-acting transcriptional regulatory elements, as their deletion reduced promoter activity. This
338 finding supports the possibility that an intron 1 promoter located at mouse genomic DNA sequence +890
339 to +2312 drives the transcription of the 2.5 kb GATA5 transcript in mouse.

340

341 *Putative intron 1 promoter drives reporter gene expression in transgenic mice.* To test whether the intron
342 1 GATA5 sequence exhibits promoter activity in vivo, we generated random-integration transgenic mice
343 on the C57Bl/6 background, using a transgene in which bp +890 to +2312 of the GATA5 gene drove ex-
344 pression of a dual reporter cassette (lacZ-IRES-EGFP) (Figure 3A). A 6.2-kb transgene (5' – NotI – in-
345 tronic GATA5 promoter – lacZ – IRES – EGFP – SV40 polyA signal – NotI – 3') was purified and in-
346 jected into ES cells for transgenic mouse generation in the University of Chicago transgenic core facility.
347 Transgenic mice were genotyped by Extract-N-Amp Tissue PCR Kits (Sigma, St. Louis, MO). X-gal
348 staining and immunostaining were used to detect β -galactosidase activity and EGFP expression, respec-
349 tively. In each of two founder lines of transgenic mice studied, the intron 1 GATA5 promoter directed
350 both β -galactosidase and EGFP expression in gastric epithelial cells (Figure 3B), though reporter gene
351 expression was more intense in the line shown. In addition, there was weak reporter gene expression in
352 epithelium of kidney, lung, small intestine, and colon (data not shown) in both of the transgenic lines stu-

353 died. These data indicate that the GATA5 genomic DNA fragment spanning bp +890 to +2312 contains a
354 functional promoter in vivo that could transcribe the 2.5 kb GATA5 mRNA with transcription start site at
355 +2121 bp of the GATA5 gene.

356

357 *Short GATA5 retains partial ability to transactivate the ANF promoter.* To determine whether the novel
358 2.5 kb transcript encodes a protein that retains GATA5 function, we subcloned the portion of the full-
359 length (3.3 kb) GATA5 cDNA from 18 bp upstream of the predicted translation initiation ATG in exon 2
360 through the translation stop site into pcDNA3.1. Western blot confirmed that this construct expresses a
361 short GATA5 isoform (containing aa 226-404) during transient transfection in NIH 3T3 cells (Figure 4A).
362 Moreover, immunofluorescent staining confirmed that both full-length (Figure 4B) and short (Figure 4C)
363 GATA5 were located in the nucleus when expressed by transient transfection in NIH 3T3 cells. To assess
364 whether short GATA5 retains transcription promoting activity, we co-transfected expression plasmids en-
365 coding short GATA5, full-length GATA5, or GATA4 with a reporter plasmid in which firefly luciferase
366 expression is driven by the GATA-sensitive ANF promoter. As shown in Figure 5, short GATA5 signifi-
367 cantly transactivates the GATA-sensitive ANF promoter, though to a lesser extent than does full-length
368 GATA5 or GATA4.

369

370 **Discussion**

371 In this study, we identified an alternative promoter region of mouse GATA5 gene located at bp +890 to
372 +2312 that drives the transcription of a 2.5 kb GATA5 mRNA with a novel transcription start site at bp
373 +2121 within intron 1. It is a common feature for GATA family members to possess two promoters and
374 two initiation codons. In the mouse, a distal promoter of the GATA1 gene drives testis-specific expres-
375 sion, while a more proximal promoter directs transcription in hematopoietic cells (24, 30). Similarly,
376 mouse GATA2 expression is regulated by two distinct promoters. One, whose structure is homologous to
377 that of the *Xenopus* and human GATA2 gene promoters, directs mouse GATA2 transcription in all cells,

378 while the other promoter regulates the expression of GATA2 specifically in hematopoietic cells (4, 6, 18).
379 Human and mouse GATA3 genes also are controlled by two promoters that may direct lineage- and tis-
380 sue-specific expression. The human and mouse GATA6 genes possess two alternative promoters and two
381 initiation codons, though both transcripts are expressed in essentially the same tissue-specific and deve-
382 lopmental stage-specific pattern (3). Two promoter regions regulate transcription of chicken GATA5
383 mRNAs that encode two distinct GATA5 proteins (15). It is not surprising therefore that there are distinct
384 promoters mediating the transcriptional regulation of GATA5 genes in mouse. The use of alternative
385 promoters and transcriptional start sites can create diversity and flexibility in the regulation of gene ex-
386 pression (2).

387

388 Our finding of a second GATA5 promoter in intron 1 (Figures 2 and 3) that drives expression of a short
389 but still functional GATA5 variant, in a tissue distribution that parallels expression of mRNA encoding
390 full-length GATA5 (Figure 1D), makes plausible our speculation that previously reported GATA5 exon
391 1-deleted mice (20) might have retained a transcriptionally active short form of GATA5 protein. The pre-
392 dicted 181 aa GATA5 protein would retain only one zinc finger and the C-terminal activation domain,
393 and would have had a structure quite similar to the alternative isoform of chicken GATA5, in which splic-
394 ing from an upstream alternative exon 1 excludes the coding exon 1 and the consequent mRNA encodes a
395 partially functional single zinc finger isoform of chicken GATA5 (15). GATA5 exon 1-deleted mice are
396 not presently available to evaluate the expression and function of short GATA5 in the absence of full-
397 length GATA5. However, our studies indicate that an immunoreactive short isoform can be synthesized in
398 vitro and localizes to the nucleus, similar to full-length GATA5 (Figure 4). Even with a single zinc finger,
399 short GATA5 still transactivates the GATA-sensitive ANF promoter though to a lesser extent than full-
400 length GATA5 or GATA4 (Figure 5). Furthermore, short GATA5 is expressed endogenously in proximal
401 colon (Figure 1E) along with full-length GATA5. The physiological role of endogenously expressed
402 short GATA5 has yet to be determined.

403

404 Using 3' RACE, we also identified the structure of a 2.1 kb GATA5 transcript that includes exons 1-5 and
405 the 5' half of exon 6, but lacks the 3' terminal 1143 bp of exon 6; this transcript is likely the same as the
406 apparently 1.8 kb transcript evident in previously published northern blots (5, 21). The tissue distribution
407 of the 2.1 kb transcript parallels that of full-length GATA5 mRNA; both are highly expressed in the sto-
408 mach and intestine as well as slightly in lung, liver, and heart (Figure 1A). This 3' truncated mRNA
409 should encode the same GATA5 protein as the full-length GATA5 transcript. However, 3' UTR se-
410 quences often harbor protein binding sites to stabilize transcript and control polyadenylation and nuclear
411 export (8), and may contain miRNA binding sites that regulate protein translation. We do not yet know
412 the function of the GATA5 3' UTR or whether there is any functional difference between these two tran-
413 scripts. However, the finding of this new GATA5 isoform provides a critically important guide to inter-
414 preting data concerning GATA5 expression. For example, the GeneChip Mouse Genome 430 2.0 Array
415 (Affymetrix, Santa Clara, CA) is a powerful tool that is widely used for profiling gene expression in
416 mouse tissues; its probe target sequences are selected from current GenBank, dbEST, and RefSeq. Probe
417 set 96500_at (GC content 53%), that targets GATA5 gene sequence from bp +8962-9345, was used to
418 detect GATA5 transcripts in the Affymetrix MG-U74AV2 chip. In the Mouse Genome 430 2.0 Array,
419 probe set 1450125_at (GC content 49.8%) replaced the probe set 96500_at and probe set 1450126_at (GC
420 content 47.3%) was added as a second probe set for GATA5 mRNA. The target sequences for probe
421 1450125_at and 1450126_at are derived from GATA5 cDNA bp 2668-2985 and 1507-2085, respectively.
422 Thus, probe set 1450126_at detects all three isoforms of GATA5 transcripts while the probe set
423 1450125_at only detects full-length GATA5 transcripts and the 2.5 kb GATA5 transcript transcribed from
424 the intron 1 promoter; however, it is insensitive to the 2.1 kb transcript that lacks the 3' terminal 1143 bp
425 of full-length GATA5 exon 6. This suggests that the signal of GATA5 gene detected by probe set
426 1450126_at (which detects all GATA5 mRNAs) should be higher than or at least equal to that detected by
427 probe set 1450125_at (which excludes those that lack the full 3' UTR). Indeed, we confirmed this antic-

428 ipated result in gene expression data sets from five recent studies (11, 17, 31), as shown in Table 1. Thus,
429 comparison of relative signal intensities from these two probe sets may yield insight into the differential
430 abundances of 3'UTR containing or 3'UTR lacking transcripts.

431

432 Our findings also extend current understanding of the tissue distribution of GATA5 expression. Morrisey
433 et al (21) described the temporal and spatial pattern of GATA5 gene expression during mammalian devel-
434 opment using in situ hybridization to a GATA5 5' UTR cDNA probe (bp 253-707). Since the 5' UTR
435 cDNA probe cannot detect the alternative 2.5 kb GATA5 mRNA transcribed from the intron 1 promoter,
436 their in situ hybridization results may not have revealed additional tissues that express short, but not full-
437 length, GATA5 proteins. In addition, in contrast to the report that GATA5 is not expressed in the heart
438 during late fetal and postnatal development, using the more sensitive RT-PCR method, we discovered that
439 novel and full-length GATA5 transcripts were expressed in adult heart, albeit at low levels.

440 In summary, we have identified an alternative promoter region and two alternative isoforms of GATA5
441 transcripts in mouse. These findings are critical to elucidate the temporal and spatial expression patterns
442 of GATA5 isoforms and their functions.

443

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447

448

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GEO data set(s)	Probe set 1450125_at signal intensity	Probe set 1450126_at signal intensity	N	% of samples in which signal intensity from 1450126_at exceeds signal intensity from 1450125_at	Paired t-test P value	Reference
GSE9465	4.76 ± 0.55	6.30 ± 0.26	12	100	<3*10 ⁻⁸	(31)
GSE9368-9	6.28 ± 0.23	6.68 ± 0.27	12	100	<6*10 ⁻⁵	(11)
GSE11662	2.25 ± 0.17	4.23 ± 0.42	12	100	<2*10 ⁻¹⁰	(17)
GSE9199	49.47 ± 7.01	63.78 ± 7.81	50	92.0	<2*10 ⁻¹³	(16)
GSE10246	9.45 ± 35.42	10.76 ± 34.69	182	90.7	<1*10 ⁻⁴ §	(13)

544 § Wilcoxon paired rank test used as data were not normally distributed

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Table 1. Signal intensities from probe sets 1450125_at and 1450126_at on Affymetrix transcription microarrays, as reported in 5 published studies. Signal intensity was almost always greater from probe set 1450126_at, which can detect GATA5 transcripts that either contain or lack the distal 3'UTR included in the 3.3 kb but not the 2.1 kb GATA5 transcript. In contrast, probe set 1240125_at detects only those transcripts that include the distal 3'UTR, and so generally yields a smaller GATA5 hybridization signal.

GEO – Gene Expression Omnibus; N – number of paired samples in each publication.

552 Figure Legends

553 Figure 1. Northern analyses of poly-A⁺ RNA from various mouse tissues (panel A) or of total RNA from
554 mouse intestinal mucosa (panel B) identify three transcripts approximately 3.3 kb, 2.5 kb, and 2.1 kb in
555 size. The 3.3 kb transcript was detected with three radiolabeled probes corresponding to mouse GATA5
556 5'UTR (full-length cDNA bp 1-350), coding exons 2-6 (full-length cDNA bp 968-1542), and exon 6
557 3'UTR (full-length cDNA bp 2232-2712). The 2.1 kb transcript hybridizes with the 5'UTR and exons 2-6
558 probe but not with the 3'UTR probe. Conversely, the 2.5 kb transcript hybridizes with the exons 2-6 and
559 3'UTR probes, but excludes the 5'UTR sequence. When present, the 2.5 kb transcript is much less abun-
560 dant than are the 3.3 kb and 2.1 kb transcripts. Panel C – Alignment of regions of human and mouse ge-
561 nomic DNA showing homology (VISTA analysis, % homology shown) with exons found in three mouse
562 GATA5 mRNAs as inferred from 5'RLM-RACE and 3'RACE. Translation start codons (ATG) in exons
563 1 and 2 are indicated. Panel D – RT-PCR demonstrates that mRNAs encoding full-length GATA5 (494 bp
564 amplicon spanning exon [Ex] 1 to exon 5) or short GATA5 (303 bp amplicon spanning intron [Int] 1 to
565 exon 3) are expressed in similar tissue distributions, and are most prevalent in intestine, stomach, and
566 lung, but are also expressed at low levels in heart and kidney. Panel E – Western analysis demonstrates
567 full-length GATA5 (~45 kD) in intestinal mucosa from four regions indicated; in addition, short GATA5
568 (~27 kD) is present in proximal colonic mucosa.

569

570 Figure 2. A – Schematic illustration of the alternative GATA5 promoter constructs evaluated. B – Rela-
571 tive promoter activities in canine tracheal smooth muscle (CTSM) and human bronchial epithelial
572 (16HBE14o-) cells. The GATA5 genomic sequence spanning bp +890 to +2312 has promoter activity in
573 both CTSM (7.4-fold that of empty pGL3-basic) and 16HBE14o- (5.8-fold that of empty pGL3-basic)
574 cells. Deletion of the sequence from +890 bp to +1347 bp reduced promoter activity in both cell types.
575 Mean ± SEM of 3 experiments shown.

576

577 Figure 3. A – Schematic illustration of the transgene used to reveal the tissue distribution of alternative
578 (intron 1) GATA5 promoter activity. B – Reporter gene expression is observed in gastric mucosa of a
579 transgenic mice. β -galactosidase reporter expression was detected by X-gal staining (blue color) and eosin
580 counterstaining in gastric mucosa from a transgene positive mouse (panel 2) but not in stomach of a wild-
581 type mouse (panel 1). EGFP reporter expression was detected by immunostaining (brown color) and he-
582 matoxylin counterstaining in transgenic mice (panel 4); omission of primary anti-EGFP (panel 3) obviated
583 all staining, indicating the specificity of the staining in panel 4. Together, these results demonstrate that
584 the alternative GATA5 promoter in intron 1 can drive both LacZ and EGFP reporter gene expression in
585 gastric mucosa.

586

587 Figure 4. A - Expression of full-length (left lane of each blot) or short GATA5 (right lane of each blot) in
588 NIH3T3 cells transfected with pcDNA-GATA5-f or pcDNA-GATA5-s, respectively. AB4133 antibody
589 (recognizes amino acids 235-247 in the middle portion of full-length GATA5) detects both species (~ 45
590 and 27 kD); Y-19 antibody (recognizes N-terminus) shows that short GATA5 lacks N-terminal residues.
591 B, C - Immunofluorescence staining of NIH 3T3 cells transfected with pcDNA-GATA5-f, which encodes
592 full-length GATA5 (B) or pcDNA-GATA5-s, which encodes short GATA5 cDNA (C). Both GATA5 iso-
593 forms exhibit nuclear localization. Primary anti-GATA5 antibody used is directed against aa 235-247 of
594 full-length GATA5. GATA5 staining is shown in red and Hoescht nuclear staining is shown in blue, so
595 that nuclear GATA5 appears magenta. F-actin staining (phalloidin) is shown in green.

596

597 Figure 5. In vitro transactivation experiments indicate that co-transfection with expression plasmid en-
598 coding short GATA5 (pcDNA-GATA5-s) transactivates the GATA-sensitive ANF promoter ($P < 0.0001$),
599 though full-length GATA5 (pcDNA-GATA5-f) and GATA4 (pcDNA-GATA4) are more effective. Mean
600 \pm SEM of 4 experiments with triplicate wells shown.

Figure 1

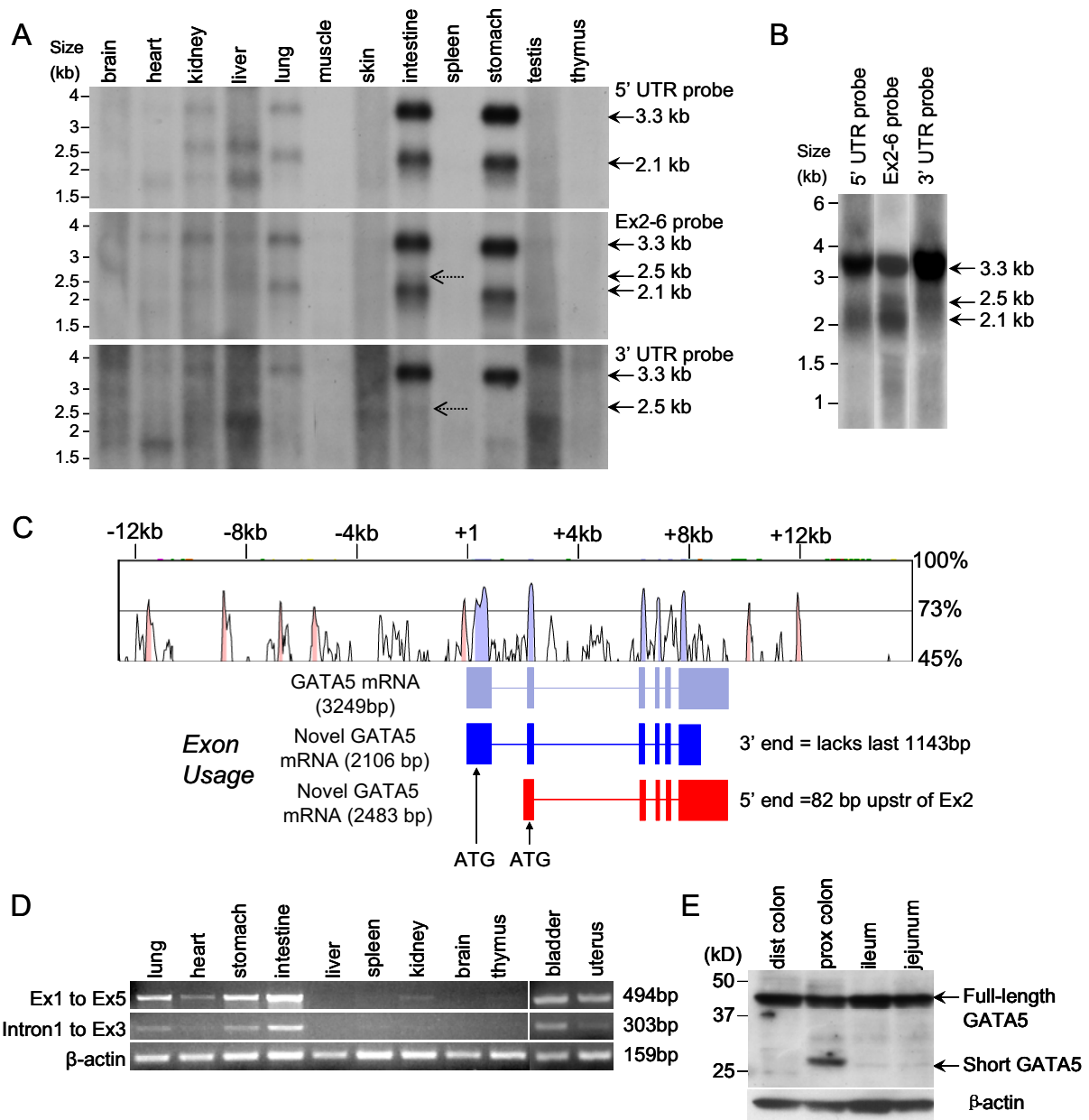


Figure 2

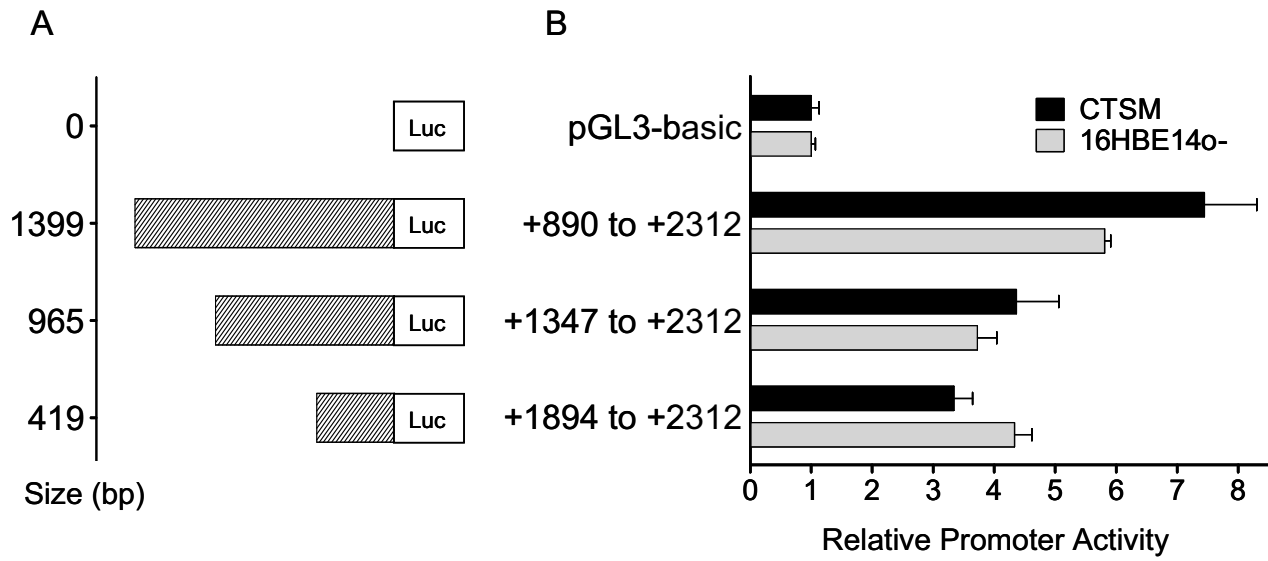
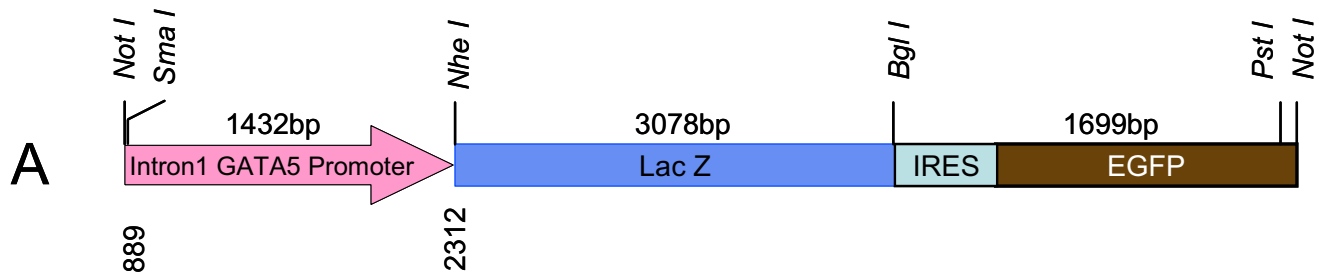


Figure 3



B

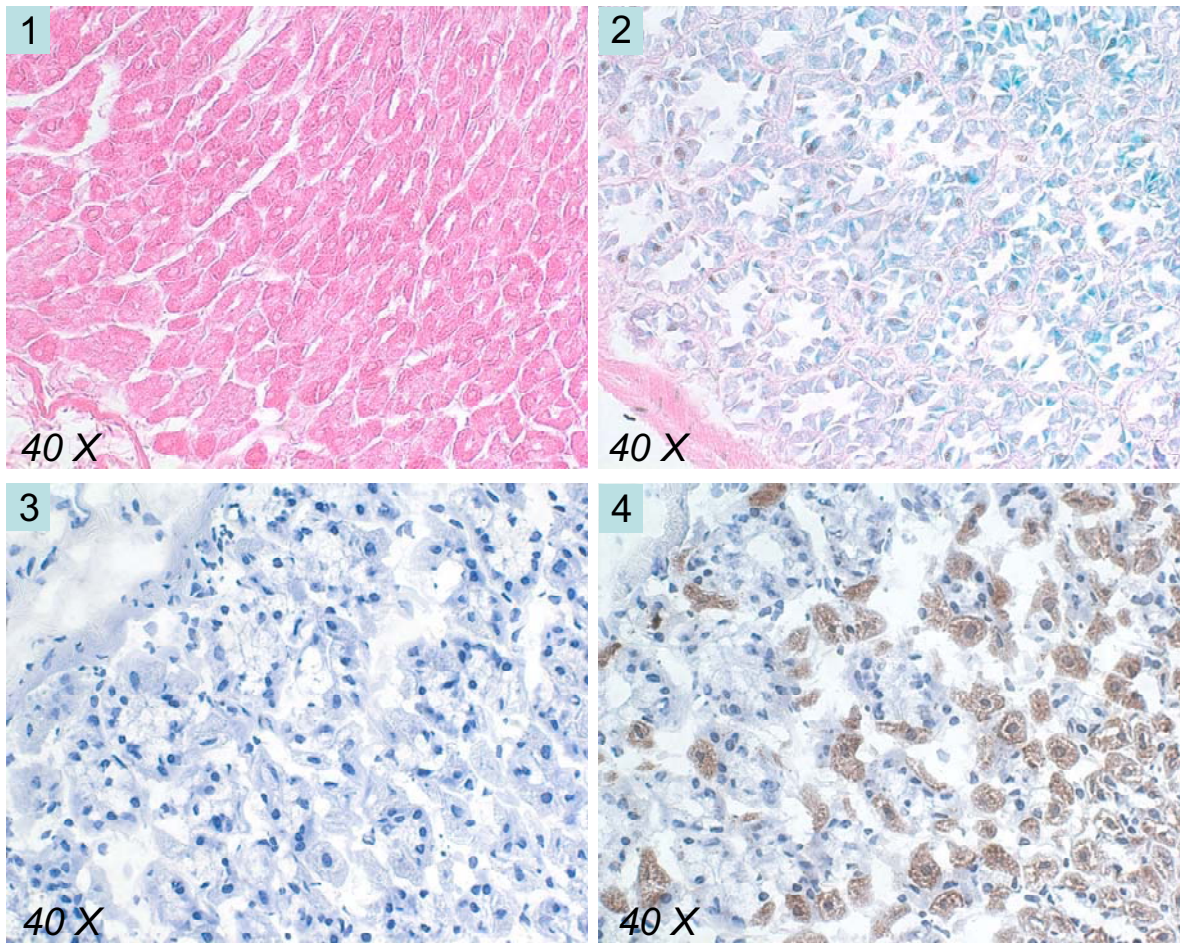


Figure 4

